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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	09/997,940	
			Filing Date	November 29, 2001	
			First Named Inventor	Thomas A. Kozub	
			Art Unit	2173	
			Examiner Name	Not Yet Assigned T. HALL	
Sheet	1	of	1	Attorney Docket Number	EMCORE 3.0-075

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number Number-Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
TH	A	US-6,122,566	09-19-2000	Nguyen et al.	
TH	B	US-6,078,313	06-20-2000	Sezaki et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.†	Foreign Patent Document Country Code* -Number* -Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	†

Examiner Signature	<i>Thomas A. Kozub</i>	Date Considered	2/25/05
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